

Edition 1.0 2007-08

INTERNATIONAL **STANDARD**



Electrostatics -

Part 5-1: Protection of electronic devices from electrostatic phenomena -**General requirements**

Electrostatique -

Part 5-1: Protection des dispositifs électroniques contre les phénomènes électrostatiques - Exigences générales





THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2007 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester.

If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de la CEI ou du Comité national de la CEI du pays du demandeur.

Si vous avez des questions sur le copyright de la CEI ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de la CEI de votre pays de résidence.

IEC Central Office 3, rue de Varembé CH-1211 Geneva 20 Switzerland Email: inmail@iec.ch

Email: inmail@iec.cl Web: www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

Catalogue of IEC publications: www.iec.ch/searchpub

The IEC on-line Catalogue enables you to search by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, withdrawn and replaced publications.

■ IEC Just Published: www.iec.ch/online_news/justpub

Stay up to date on all new IEC publications. Just Published details twice a month all new publications released. Available on-line and also by email.

Electropedia: www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing more than 20 000 terms and definitions in English and French, with equivalent terms in additional languages. Also known as the International Electrotechnical Vocabulary online.

Customer Service Centre: www.iec.ch/webstore/custserv

If you wish to give us your feedback on this publication or need further assistance, please visit the Customer Service Centre FAQ or contact us:

Email: csc@iec.ch Tel.: +41 22 919 02 11 Fax: +41 22 919 03 00

A propos de la CEI

La Commission Electrotechnique Internationale (CEI) est la première organisation mondiale qui élabore et publie des normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications CEI

Le contenu technique des publications de la CEI est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

■ Catalogue des publications de la CEI: <u>www.iec.ch/searchpub/cur_fut-f.htm</u>

Le Catalogue en-ligne de la CEI vous permet d'effectuer des recherches en utilisant différents critères (numéro de référence, texte, comité d'études,...). Il donne aussi des informations sur les projets et les publications retirées ou remplacées.

Just Published CEI: www.iec.ch/online_news/justpub

Restez informé sur les nouvelles publications de la CEI. Just Published détaille deux fois par mois les nouvelles publications parues. Disponible en-ligne et aussi par email.

■ Electropedia: <u>www.electropedia.org</u>

Le premier dictionnaire en ligne au monde de termes électroniques et électriques. Il contient plus de 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans les langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International en ligne.

Service Clients: www.iec.ch/webstore/custserv/custserv_entry-f.htm

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions, visitez le FAQ du Service clients ou contactez-nous:

Email: csc@iec.ch Tél.: +41 22 919 02 11 Fax: +41 22 919 03 00



Edition 1.0 2007-08

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Part 5-1: Protection of electronic devices from electrostatic phenomena – General requirements

Electrostatique -

Part 5-1: Protection des dispositifs électroniques contre les phénomènes électrostatiques – Exigences générales



COMMISSION ELECTROTECHNIQUE INTERNATIONALE

PRICE CODE
CODE PRIX

R

CONTENTS

FOI	REW	ORD		3	
INT	ROD	UCTION	٧	5	
1	Scope				
2	Normative references			7	
3	Terms and definitions				
4	Personnel safety			9	
5	ESD control program			9	
	5.1 General			9	
		5.1.1	ESD control program requirements	9	
		5.1.2	ESD coordinator	9	
		5.1.3	Tailoring	9	
	5.2	ESD control program administrative requirements			
		5.2.1	ESD control program plan	9	
		5.2.2			
		5.2.3	Compliance verification plan	10	
	5.3	ESD control program plan technical requirements			
		5.3.1	Grounding/equipotential bonding systems	11	
		5.3.2	Personnel grounding.		
		5.3.3	ESD protected areas (EPA)	13	
		5.3.4	Packaging		
		5.3.5	Marking	15	
Anr	nex A	(norma	tive) Test methods	16	
Fig	ure 1	- Sche	matic of an ERA with a ground reference	11	
Fig	Figure 2 – Schematic of an equipotential bonding system				
Fig	Figure A.1 – Wrist strap testing.				
Fig	ure A	.2 - Foo	otwear testing (example)	17	
		///			
Tab	ole 1 -	- Groun	ding/bonding requirements	12	
Table 2 – Personnel grounding requirements					
	Table 3 – EPA Requirements				
Tah	Table 4 – Packaging				

INTERNATIONAL ELECTROTECHNICAL COMMISSION

ELECTROSTATICS -

Part 5-1: Protection of electronic devices from electrostatic phenomena – General requirements

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards. Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with an IEC Publication.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 61340-5-1 has been prepared by IEC technical committee 101: Electrostatics.

This first edition cancels and replaces the technical specification published in 1998. It constitutes a technical revision.

The main changes with respect to the previous edition are listed below:

This version of IEC 61340-5-1 focuses on the requirements for an ESD control program. In addition, this version of IEC 61340-5-1 has been aligned with other major ESD control program standards used throughout the world.

The text of this standard is based on the following documents:

FDIS	Report on voting
101/249/FDIS	101/251/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61340 series, under the general title *Electrostatics*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "http://webstore.jec.ch" in the data related to the specific publication. At this date, the publication will be

- · reconfirmed;
- · withdrawn;
- · replaced by a revised edition, or





INTRODUCTION

This part of IEC 61340 covers the requirements necessary to design, establish, implement and maintain an electrostatic discharge (ESD) control program for activities that: manufacture, process, assemble, install, package, label, service, test, inspect, transport or otherwise handle electrical or electronic parts, assemblies and equipment susceptible to damage by electrostatic discharges greater than or equal to 100 V human body model (HBM). This standard covers the ESD control program requirements necessary for setting up a program to handle ESD-sensitive devices (ESDS), based on the historical experience of both military and commercial organizations. The fundamental ESD control principles that form the basis of this standard are as follows:

- avoid a discharge from any charged, conductive object (personnel and especially automated handling equipment) into the ESDS. This can be accomplished by bonding or electrically connecting all conductors in the environment, including personnel, to a known ground or contrived ground (as on board ship or on aircraft). This attachment creates an equipotential balance between all conducting objects and personnel. Electrostatic protection can be maintained at a potential different from a "zero" voltage ground potential as long as all conductive objects in the system are at the same potential;
- avoid a discharge from any charged ESD sensitive device. Charging can result from direct contact and separation or it can be field induced. Necessary insulators in the environment cannot lose their electrostatic charge by attachment to ground. Ionization systems provide neutralization of charges on these necessary insulators (circuit board materials and some device packages are examples of necessary insulators). Assessment of the ESD hazard created by electrostatic charges on the necessary insulators in the work place is required to ensure that appropriate actions are implemented, according to the risk;
- once outside of an electrostatic discharge protected area (hereinafter referred to as an EPA) it is often not possible to control the above items, therefore, ESD protective packaging may be required. ESD protection can be achieved by enclosing ESD sensitive products in static protective materials, although the type of material depends on the situation and destination. Inside an EPA, static dissipative materials may provide adequate protection. Outside an EPA, static discharge shielding materials are recommended. Whilst all of these materials are not discussed in this standard, it is important to recognize the differences in their application.

Each company has different processes, and so will require a different blend of ESD prevention measures for an optimum ESD control program. It is vital that these measures are selected, based on technical necessity and carefully documented in an ESD control program plan, so that all concerned can be sure of the program requirements.

Training is an essential part of an ESD control program in order to ensure that the personnel involved understand the equipment and procedures they are to use in order to be in compliance with the ESD control program plan. Training is also essential in raising awareness and understanding of ESD issues. Without training, personnel are often a major source of ESD risk. With training, they become an effective first line of defence against ESD damage.

Regular compliance verification checks and tests are essential to ensure that equipment remains effective and that the ESD control program is correctly implemented in compliance with the ESD control program plan.

Any contact and physical separation of materials or flow of solids, liquids, or particle-laden gases can generate electrostatic charges. Common sources of ESD include charged: personnel, conductors, common polymeric materials, and processing equipment. ESD damage can occur when:

- a charged person or object comes into contact with an ESDS;
- an ESDS comes into direct contact with a highly conductive surface while exposed to an electrostatic field;
- a charged ESDS comes into contact with another conductive surface which is at a different electrical potential. This surface may or may not be grounded.

Examples of ESDS are microcircuits, discrete semiconductors, thick and thin film resistors, hybrid devices, printed circuit boards and piezoelectric crystals. It is possible to determine device and item susceptibility by exposing the device to simulated ESD events. The level of sensitivity, determined by test using simulated ESD events, may not necessarily relate to the level of sensitivity in a real life situation. However, they are used to establish a baseline of susceptibility data for comparison of devices with equivalent part numbers from different manufacturers. Three different models are used for characterization of electronic components - human body model (HBM), machine model (MM), and charged device model (CDM).

